

Financial Adviser Profile

Overview

What sets Bob apart as a financial adviser is having both technical and practical knowledge to deliver bespoke, workable and result-driven wealth generation strategies for his clients. Bob specializes in integrated wealth generation strategies that are aiming to deliver an extra \$1-3M of wealth in 15 years or less for professional and high-income families.

Bob is a Certified Financial Planner, the gold standard in financial planning, a certification that is recognised in 26 countries globally. Bob has built his extensive technical knowledge through a business degree from UTS, studying investment and portfolio theory at UNSW, two other related diplomas and numerous specialist accreditations.

Bob is passionate about looking after his clients and he has an unshakable belief in the importance of building strong, long term relationships. This is backed up with thorough research and accountable financial advice that is free from conflict of interest.

When not working with clients and spending time with family, Bob is an avid tennis player, sustainable environmentalist and is practicing to be a minimalist. He loves fine food, home cooking and his organic garden.

Bob is an active member in the local business community, is a Justice of the Peace in the ACT and is an impact investor for charity group 'Empower Malawi'.

Bob is a Sub-Authorised Representative of Everalls Wealth Management Pty Ltd, Corporate Authorised Representative No. 465367. Authorised Representative No. 413819.

Qualifications

Bob Chen holds the following qualifications and meets the competency requirements under ASIC's Regulatory Guide RG 146:

- Bachelor Of Business—University of Technology Sydney
- Cavendish & ICFS Specialist SMSF Course — The University of Adelaide
- Certified Financial Planner – Financial Planning Association of Australia
- Investments and Portfolio Selection - Subject only, Master of financial planning UNSW
- Diploma of Share Trading and Investment — Wealth within Institute
- Diploma of Financial Service — Intellitrain
- DPML Margin Lending — Pinnacle FS Academy
- Cert IV in Finance and Mortgage Broking — Kaplan

Professional Memberships

Bob is a member of the Financial Planning Association of Australia, a Certified Financial Planner CFP® and the Tax Practitioners Board and abides by their codes of professional conduct and ethics.



Shi (Bob) Chen

CFP®, JP, BBus, DipFin(FP),
DipSTI

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Authorisations

Bob Chen is authorised to provide advice and deal in the following financial products:

- Life Products including Investment Life Insurance Products & Life Risk Insurance Products;
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services;
- Deposit & Payment Products;
- Retirement Savings Accounts (“RSA”) products;
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government;
- Superannuation;
- Self Managed Superannuation Funds;
- Securities; and
- Standard Margin Lending Facility.

Everalls Wealth Management Advice Fees and Charges

Bob may be paid by Financial Planning Advice Fees as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you during your initial meeting.

The fees that Everalls Wealth Management charges for the preparation of initial advice and any ongoing advisory services will be charged on a fee for services basis (not commission based), with an hourly rate of \$350 incl. GST. You will be notified of the time involved prior to the commencement of any work if applicable.

Where financial planning advice fees are payable, Capstone Financial Planning will receive all revenue in the first instance. Everalls Wealth Management Pty Ltd pays a fixed licencing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you.

Bob is appointed as an Authorised Representative under Everalls Wealth Management Pty Ltd. Capstone Financial Planning has no association with DFK Everalls Pty Ltd.

Other Benefits Bob May Receive

From time to time Bob may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than \$300. A register listing the details of any non-cash benefits between \$100 and \$300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.

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